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| 4 | Attorneys for Plaintiff | | |
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| 7 | Facsimile: (415) 705-2501 | | |
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| 11 | UNITED STATES DISTRICT COURT | | |
| 12 | NORTHERN DISTRICT OF CALIFORNIA | | |
| 13 | SAN FRANCISCO DIVISION | | |
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| 15 | SECURITIES AND EXCHANGE COMMISSION, | Case No. 23-cv-03855-JSC | |
| 16 | Plaintiff, | SEC's Status Report re Joint Case Management Statement | |
| 17 | V. | Case Management Conference: | |
| 18 | SISU CAPITAL, LLC, et al., | June 20, 2024, at 9:00am | |
| 19 | Defendants. | Via Zoom Webinar Courtroom: 8, 19 th Floor | |
| 20 | | Judge: Jacqueline Scott Corley | |
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| 23 | Plaintiff Securities and Exchange Commission ("SEC") submits this status report in lieu | | |
| 24 | of the joint Case Management Statement. The SEC has attempted to meet and confer with | | |
| 25 | Defendant Hansueli Overturf ("Hans Overturf") regarding the joint Case Management Statemen | | |
| 26 | but he has failed to respond. | | |
| 27 | 1. The parties' joint Case Management Statement and Rule 26(f) Report is currently | | |
| 40 | due on June 13, 2024, which is seven days before the initial Case Management Conference on | | |

| 1 | June 20, 2024 (ECF Nos 7, 43). | | |
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| 2 | 2. | On June 3, 2024, SEC co | ounsel emailed Defendant Hans Overturf and attached a |
| 3 | proposed joint Case Management Statement and a proposed stipulation to continue the Rule 26(f) | | |
| 4 | report, and asked that he review these materials and provide his inserts by June 6, 2024. | | |
| 5 | Defendant Hans Overturf did not respond. On June 10, 2024, SEC counsel sent a follow-up email | | |
| 6 | asking him to respond by 5:00 p.m. that day so that the parties could prepare the documents for | | |
| 7 | filing. As of the filing of this SEC status report on June 11, 2024, Defendant Hans Overturf still | | |
| 8 | has not responded. | | |
| 9 | 3. | Attached as Exhibit 1 are | e the SEC's emails to Defendant Hans Overturf dated June |
| 10 | 3 and June 10, 2024. | | |
| 11 | 4. Attached as Exhibit 2 is the DRAFT joint Case Management Statement that was | | |
| 12 | prepared by the SEC and sent (in substantially the same form) to Defendant Hans Overturf for his | | |
| 13 | review. Defendant Hans Overturf has not responded with his comments or insertions. | | |
| 14 | 5. | Should Defendant Hans | Overturf respond to the SEC's attempts to meet and |
| 15 | confer, the SEC will work with him to prepare and file the joint Case Management Statement in | | |
| 16 | advance of the Case Management Conference. | | |
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| 18 | Date | ed: June 11, 2024 Re | espectfully submitted, |
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| 20 | | Jol | / <i>John K. Han</i> hn K. Han |
| 21 | | At SE | torney for Plaintiff ECURITIES AND EXCHANGE COMMISSION |
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